



## Notice of a public meeting of

### Audit & Governance Committee

<b>To:</b>	Councillors Derbyshire (Chair), Dew (Vice-Chair), Shepherd, Cuthbertson, Fenton, Kramm and Steward, Mr Mendus and Mr Mann
<b>Date:</b>	Wednesday, 7 February 2018
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The Thornton Room - Ground Floor, West Offices (G039)

### AGENDA

#### 1. **Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### 2. **Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annex 3 to Agenda Item 7 (Counter Fraud: Risk Assessment and Review of Policies) on the grounds that it contains information relating to prevention, prosecution or investigation of crime. This information is classed as exempt under paragraph 7 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

**3. Minutes** (Pages 1 - 10)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 6 December 2017.

**4. Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on 6 February 2018**.

To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

**Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

**5. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators** (Pages 11 - 44)

The report is a statutory requirement setting the strategy for treasury management and specific treasury management indicators for the financial year 2018/19.

**6. Mazars Audit Progress Report (Pages 45 - 54)**

The paper reports on the progress of Mazars, the Council's external auditors, in delivering their responsibilities as auditors. It covers:

- A summary of audit progress
- Meeting the challenges of earlier deadlines
- Housing Benefit Subsidy certification
- Wider update and national publications

**7. Counter Fraud: Risk Assessment & Review of Policies (Pages 55 - 104)**

The report updates the committee on progress against the actions set out in the new counter fraud and corruption strategy and associated plan, and adds new actions for the next financial year. In addition, the council's counter fraud risk assessment has been updated to reflect fraud risks currently facing the council.

**8. Audit & Counter Fraud Plan & Consultation (Pages 105 - 110)**

The purpose of the report is to seek members' views on the priorities for internal audit for 2018/19 to inform the preparation of the annual audit plan.

**9. Health & Safety Follow Up Report (Pages 111 - 116)**

The report is the follow up to the updates received by this Committee on 19 July 2017 and 7 December 2016. Members are asked to note/comment on the progress made in implementing outstanding audit actions.

**10. Audit and Governance Committee Forward Plan (Pages 117 - 124)**

This paper presents the future plan of reports expected to be presented to the committee during the forthcoming year to December 2018.

*Please note: This agenda has been republished on 31 January 2018 to include this standing item, which was omitted through an administrative error.*

## 11. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.